

FORM PTO-1390 (REV. 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 211A 3855 PCT	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NUMBER <div style="font-size: 1.5em; font-weight: bold;">10/576431</div>	
INTERNATIONAL APPLICATION NO. PCT/JP2004/015604		INTERNATIONAL FILING DATE 21 October 2004		PRIORITY DATE CLAIMED 21 October 2003	
TITLE OF INVENTION OPTICAL INFORMATION RECORDING METHOD AND OPTICAL INFORMATION RECORDING MEDIUM					
APPLICANT(S) FOR DO/EO/US HIDEYOSHI HORIMAI					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (20) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 					
Items 11 to 19 below concern document(s) or information included:					
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> a. Copy of Form PCT/IB/304 (Notification Concerning Submission or Transmittal of Priority Document) b. Copy of Form PCT/IB/308 (second and Supplemental Notice) c. Copy of Form PCT/ISA/210 (International Search Report) d. Twelve (12) sheets of drawings 					

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/576431	INTERNATIONAL APPLICATION NUMBER PCT/JP2004/015604	ATTORNEY'S DOCKET NUMBER 211A 3855 PCT
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20. ☒ The following fees are submitted:

Basic national fee (37 CFR 1.492(a)).....\$300

Examination fee (37 CFR 1.492 (c))

If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$ 0

All other situations.....\$200

Search Fee (37 CFR 1.492(b))

If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$ 0

Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100

International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400

All other situations.....\$500

CALCULATIONS PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =					\$ 900	
Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					\$	
CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE		
Total claims	30[71]	20	51	\$ 50	\$2,550	
Independent claims	5	3	2	\$ 200	\$ 400	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+	\$360	\$ 360	
TOTAL OF ABOVE CALCULATIONS =					4,210	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$2,105	
SUBTOTAL =					\$2,105	
Processing fee of \$130 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					+	
TOTAL NATIONAL FEE =					\$2,105	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40 per property					+	
TOTAL FEES ENCLOSED =					\$2,145	
\$					Amt. Refunded	\$
					Amt. charged	

a. ☒ Checks in the amounts of \$40 and \$2,105 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. 11-1445 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

KODA & ANDROLIA

2029 Century Park East, Suite 1140

Los Angeles, CA 90067-2983

Dated: April 20, 2006

Form PTO-1390 (REV 10-2003)

SIGNATURE

William L. Androlia

REG.NO. 27,177

10/576431
1AP20 Rec'd PCT/PTO 20 APR 2006


I hereby certify that this correspondence is being deposited with the United States Postal

Service as Express Mail No. EV748244394US addressed to:

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

on April 20, 2006, by Inja Yi.

Dated: 4/20/06

By: 

211A 3855 PCT

NAKAO

Applicant(s):

HIDEYOSHI HORIMAI

For:

OPTICAL INFORMATION RECORDING METHOD AND OPTICAL
INFORMATION RECORDING MEDIUM